

# Effect of cross section uncertainties on extraction of supernova neutrino information in DUNE

Erin Conley

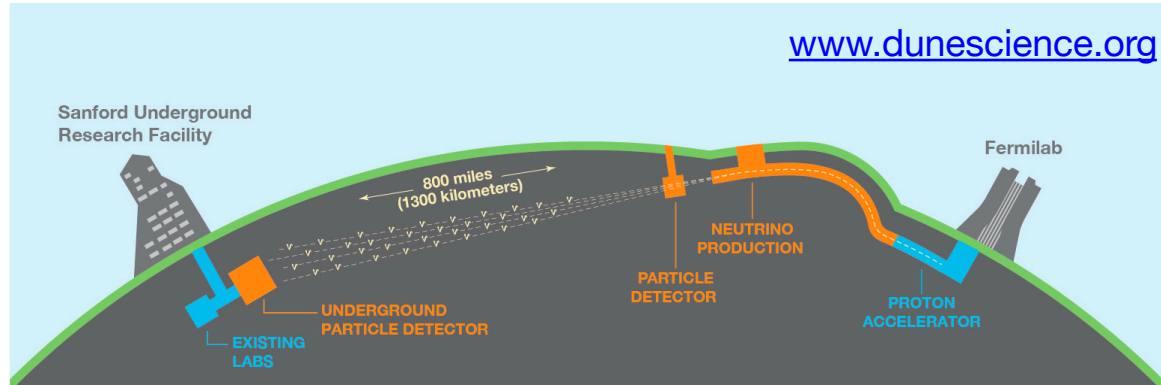
July 27, 2019

Workshop on Fundamental Physics at the Second Target Station

# Outline

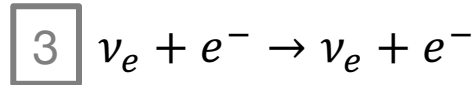
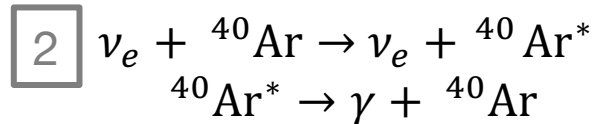
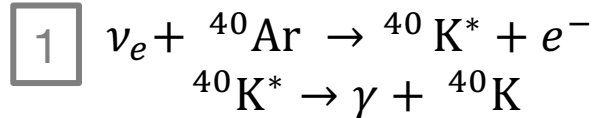
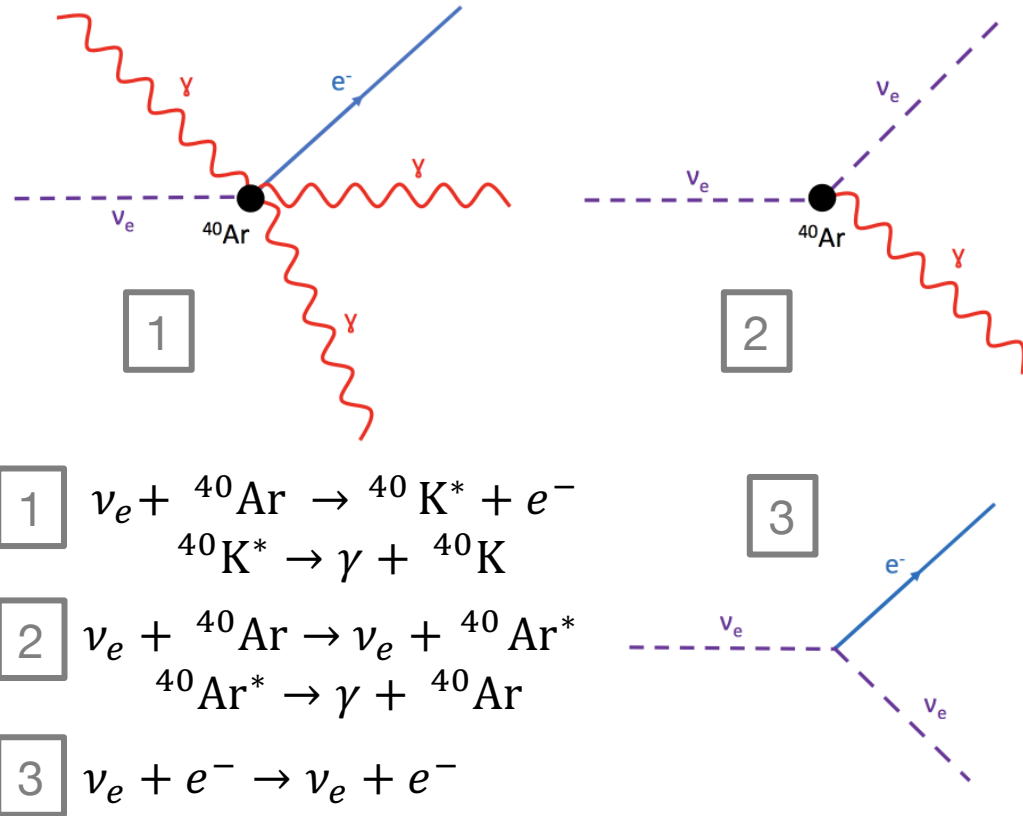
- Introduction
  - The Deep Underground Neutrino Experiment (DUNE)
  - Supernova neutrinos
- Modeling supernova neutrinos in DUNE
  - SNOwGLoBES
  - Pinched-thermal flux model
  - MARLEY
- Parameter fitting algorithm
  - Studying  $\nu_e$ - $^{40}\text{Ar}$  cross section models
- Takeaways

- International experiment for neutrino science (1100+ collaborators!)
  - Neutrino oscillation physics, **supernova physics**, nucleon decay
- Two detectors:
  - Near detector on-site at Fermilab
  - Far detector at Sanford Underground Research Facility (SURF) in South Dakota
- Far detector: world's largest liquid argon time-projection chamber (40 kton fiducial mass)
  - Ionization electrons drift due to high-voltage electric field
  - Parallel wire planes create 3D images of particle tracks



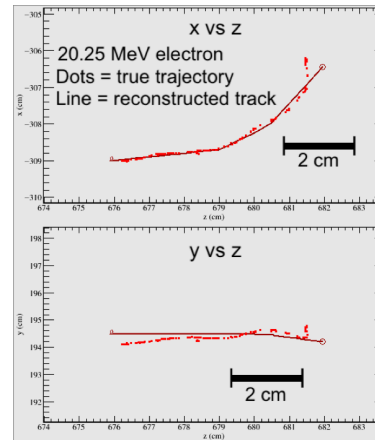
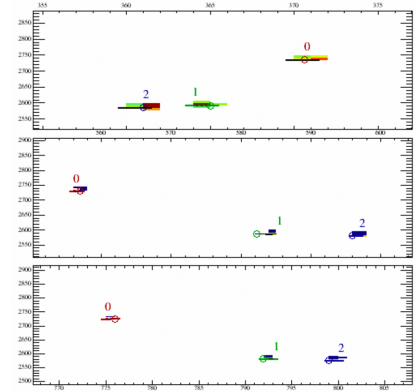
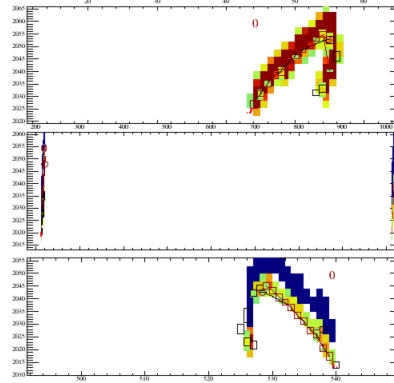
# Supernova Neutrinos + Their Interactions

- Neutrinos carry 99% of core collapse energy
- Electron neutrinos interact with particles in detector:
  - CC: Argon nuclei, electrons (ionization + bremsstrahlung), de-excitation gammas
  - NC: Argon nuclei, 9.8 MeV de-excitation gammas
  - Elastic scatter on electrons: electrons (ionization + bremsstrahlung)



# Supernova Neutrinos in DUNE

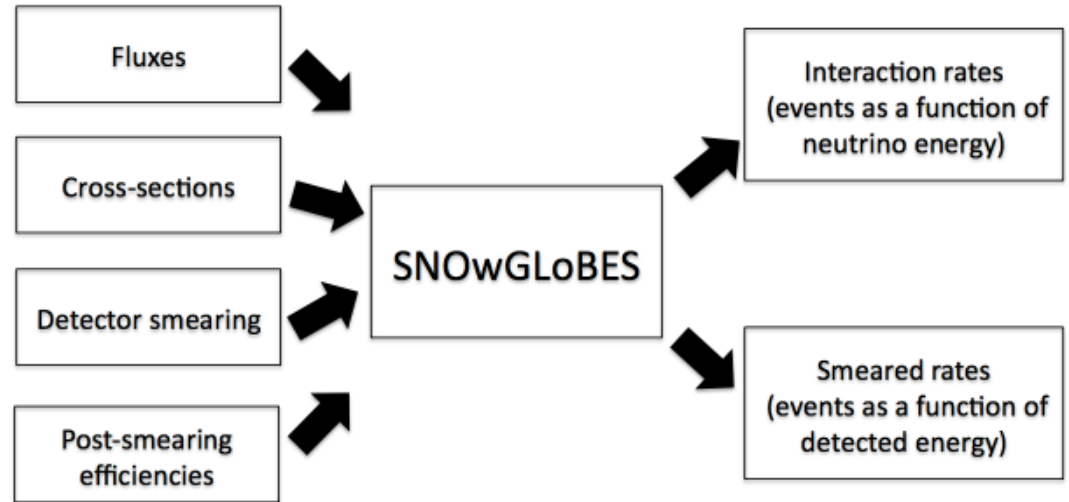
- Expect ~3000 neutrino interaction events in DUNE detector for a 10 kpc supernova
  - Neutrinos of all flavors carry 99% of core collapse energy
  - LAr is sensitive to  $\nu_e$  (versus water/scintillator which are sensitive to  $\bar{\nu}_e$ )
- Assume we can separate different interaction channels; select  $\nu_e$  CC



Top: 2D time (ticks) vs Wire #; color scale indicates charge  
Left: 30.25 MeV  $\nu_e$  CC interaction  
Right: 15 MeV  $\nu_e$  NC interaction  
Bottom: 20.25 MeV ES interaction (3D view)

# Simulating Supernova Neutrino Signals

- SNOwGLoBES:  
SuperNova  
Observatories with  
GLoBES
- Open source event  
rate calculation tool
  - Simple folding with  
generalized detector  
response



<http://phy.duke.edu/~schol/snowglobes/>

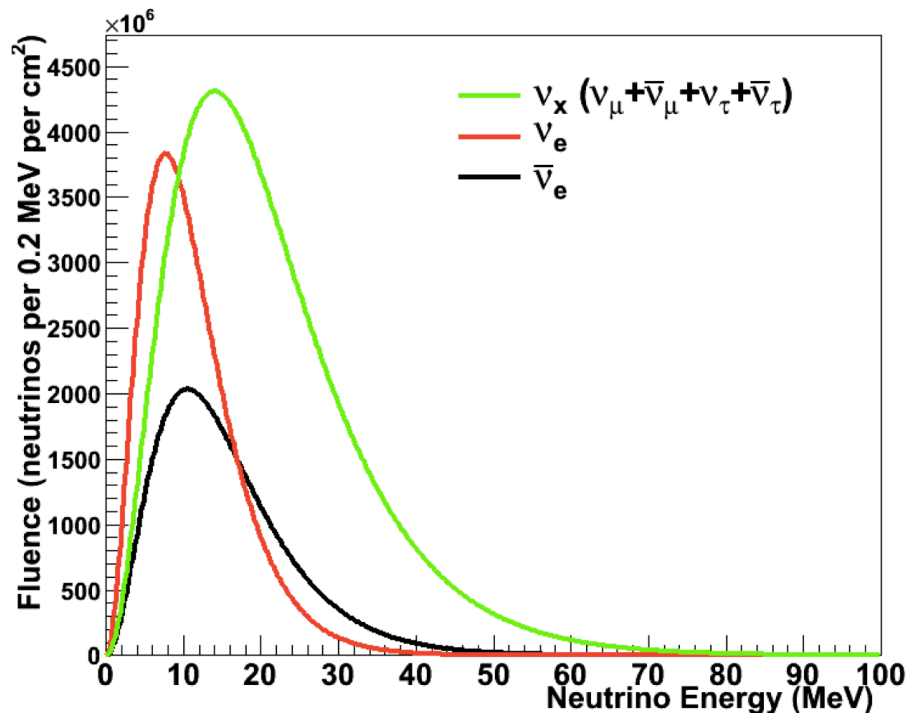
[GLoBES: General Long Baseline Experiment Simulator](#)

# Supernova Flux Model

- Supernova neutrino spectrum AKA “pinched-thermal form”:

$$\phi(E_\nu) = \mathcal{N} \left( \frac{E_\nu}{\langle E_\nu \rangle} \right)^\alpha \exp \left[ -(\alpha + 1) \frac{E_\nu}{\langle E_\nu \rangle} \right]$$

- $E_\nu$ : Neutrino energy (MeV)
  - $\mathcal{N}$ : Normalization constant (related to luminosity,  $\varepsilon$ , in ergs)
  - $\langle E_\nu \rangle$ : Mean neutrino energy (MeV)
  - $\alpha$ : Pinching parameter; large  $\alpha$  corresponds to more pinched spectrum (unitless)
- Parameters of interest:  $\varepsilon$ ,  $\langle E_\nu \rangle$ ,  $\alpha$ 
    - $\varepsilon$  physical parameter of interest to theorists

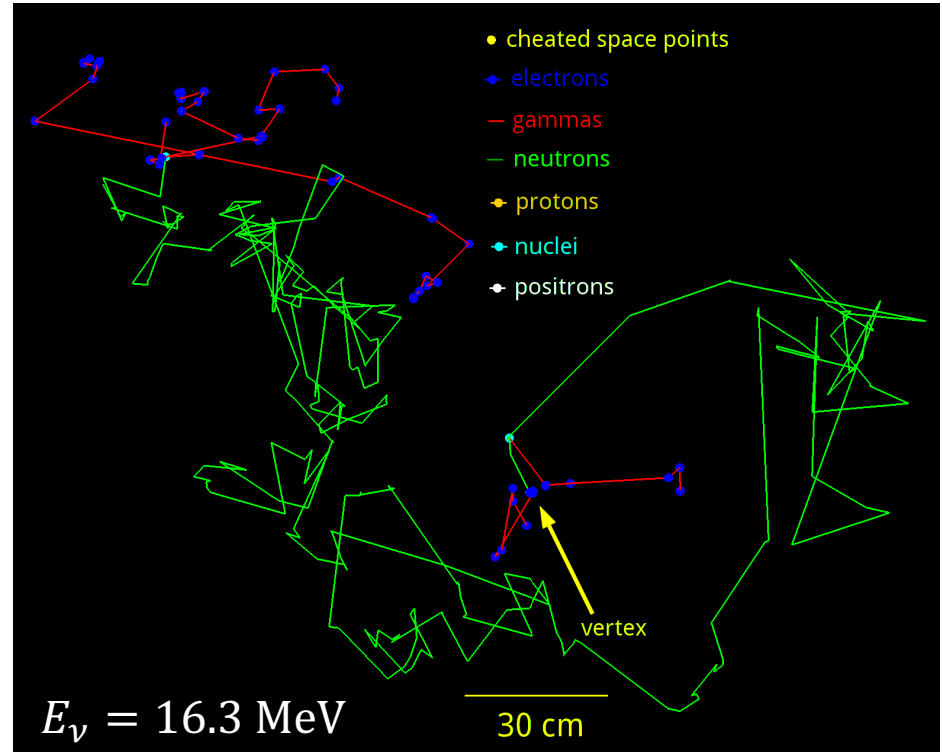
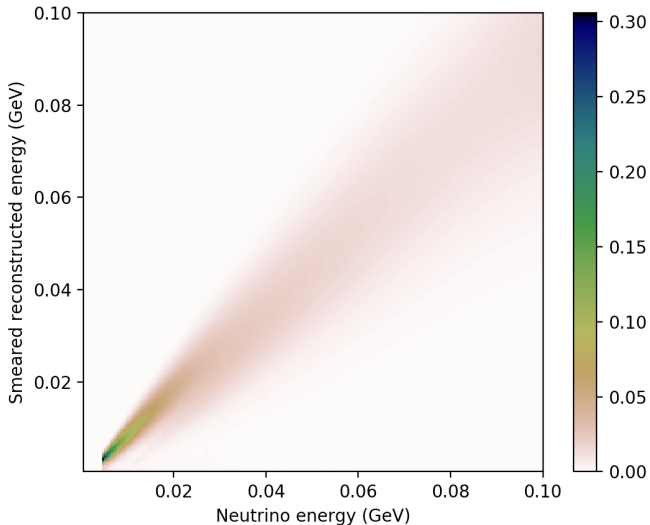


Pinched-thermal for a 10kpc supernova (K. Scholberg)  
Note: Fluence refers to a time-integrated flux.

# MARLEY: Model of Argon Reaction Low-Energy Yields

- MARLEY models low-energy  $\nu_e$  CC neutrino interactions
- More sophisticated modeling of final state particles

SNOWGLoBES Smearing Matrix: MARLEY + 20% Resolution



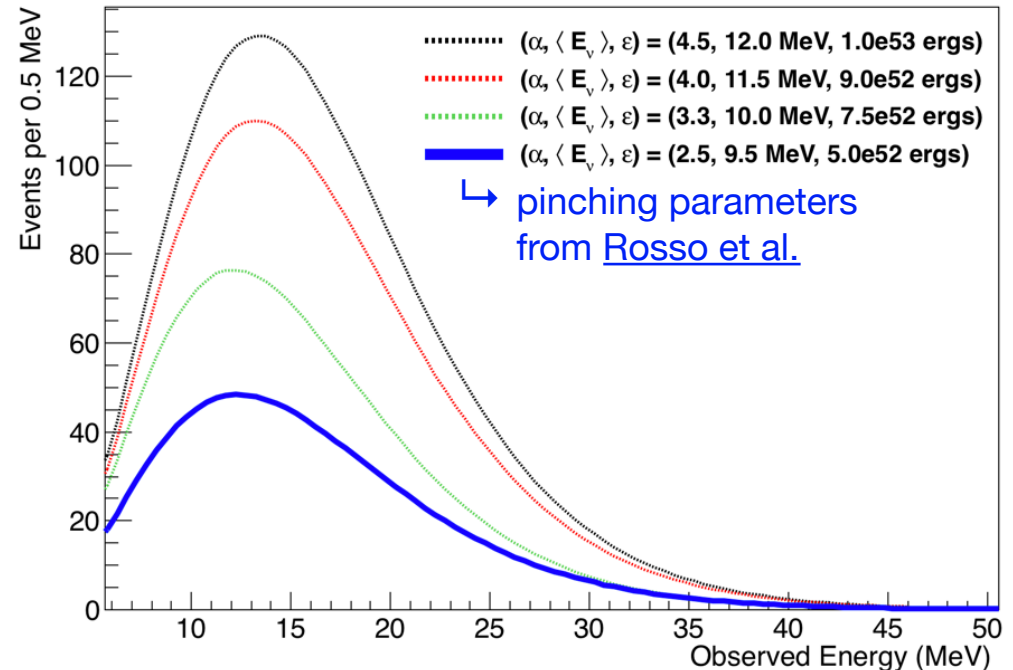
S. Gardiner (<http://www.marleygen.org/>)



# Measuring the Flux Parameters

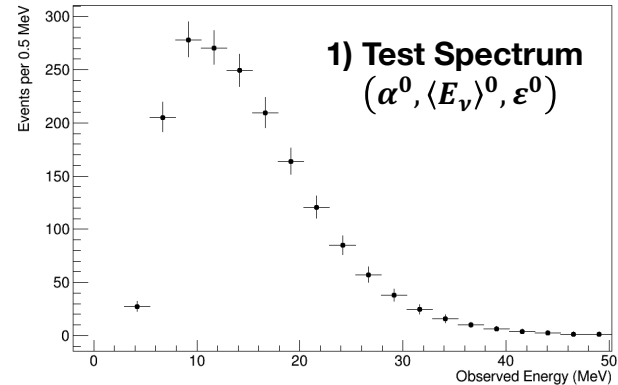
- Use pinched-thermal flux with pinching parameters  $(\alpha, \langle E_\nu \rangle, \varepsilon)$ , MARLEY cross section + interaction modeling to simulate event rates in DUNE detector
- Flux parameters play significant role in  $\nu_e$  event rates
- Develop algorithm to measure, constrain flux pinching parameters based on SNOwGLoBES event rates

SNOwGLoBES Event Rates: Pinched-Thermal Flux

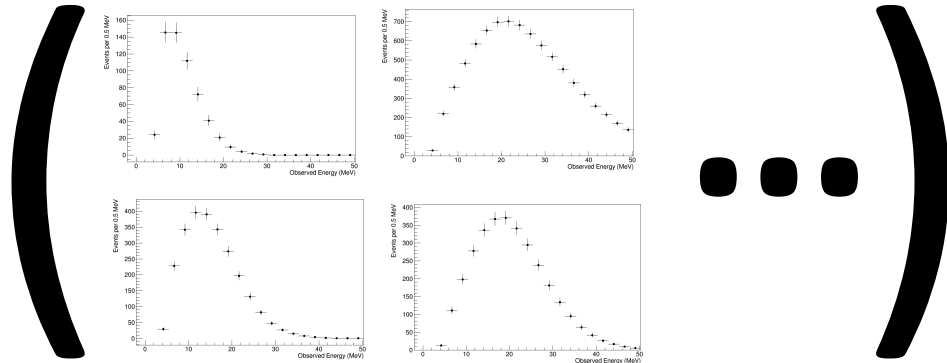


# Parameter Fitting Algorithm

- Algorithm uses the following tools:
  - “Test spectrum” with given set of pinching parameters  $(\alpha^0, \langle E_\nu \rangle^0, \varepsilon^0)$
  - Grid of energy spectra containing combinations of  $(\alpha, \langle E_\nu \rangle, \varepsilon)$
- Generate spectra with cross section model, interaction modeling, efficiencies (not necessarily the same!)
- Compute  $\chi^2$  value between test spectrum and all grid spectra; determine best-fit grid element, “sensitivity regions” that constrain parameters

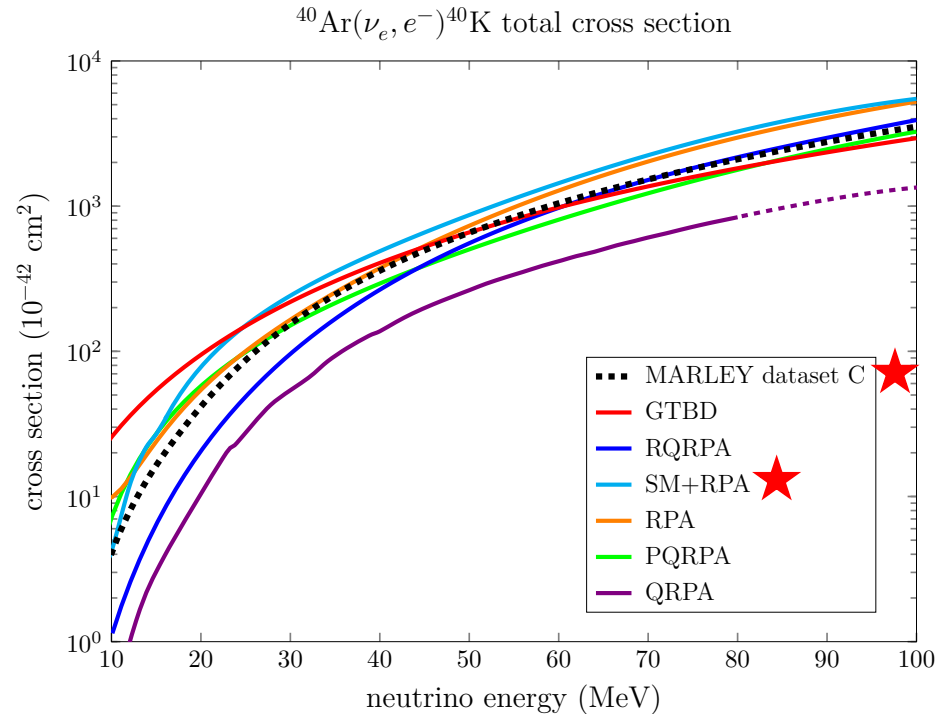


2) Grid with many different combinations of  $(\alpha, \langle E_\nu \rangle, \varepsilon)$



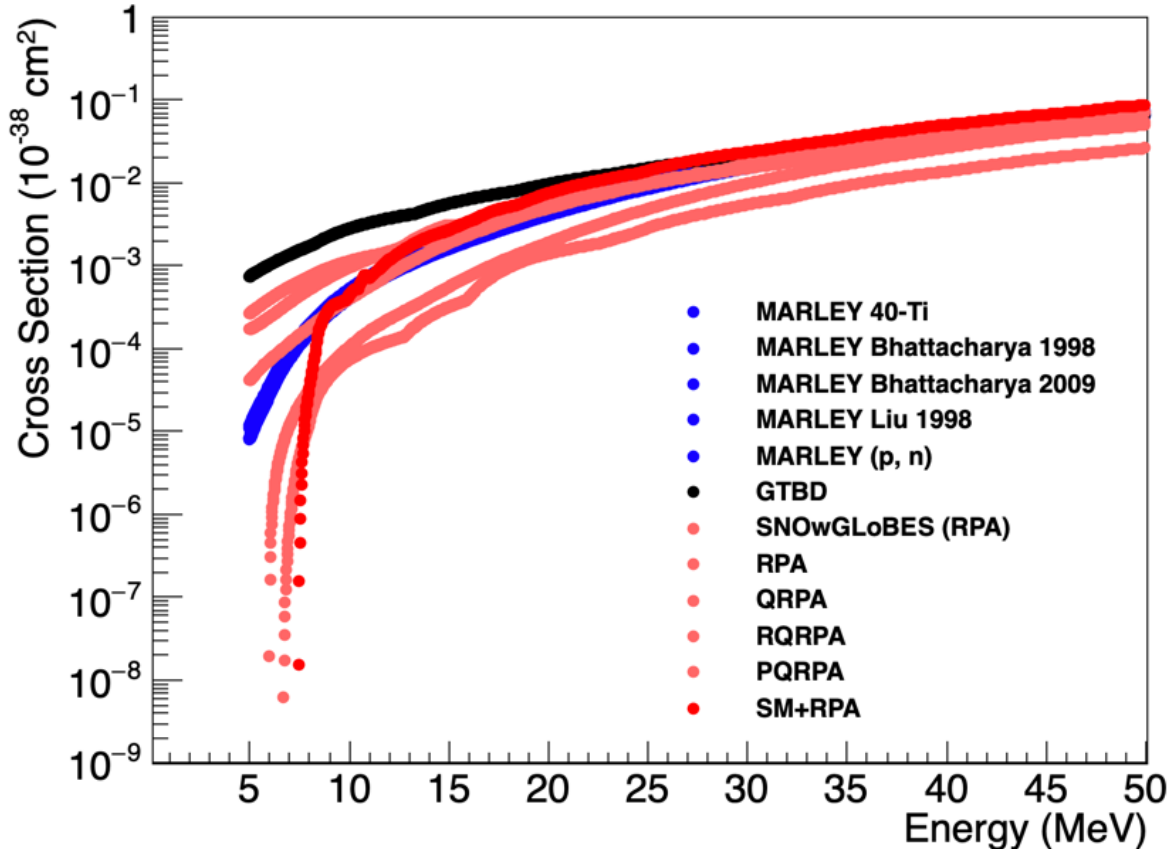
# Studying $\nu_e$ - $^{40}\text{Ar}$ Cross Section Models

- Understand impact of cross section model on parameter fitting algorithm and results
- Considered 12 cross section models calculated using different methods
  - Because these cross section models cover a wide range of values, multiple grids were generated for reasonable fits for all combinations



★ Most reliable models From S. Gardiner's thesis

# SNOwGLoBES-Formatted Cross Sections



Reliability of these models:

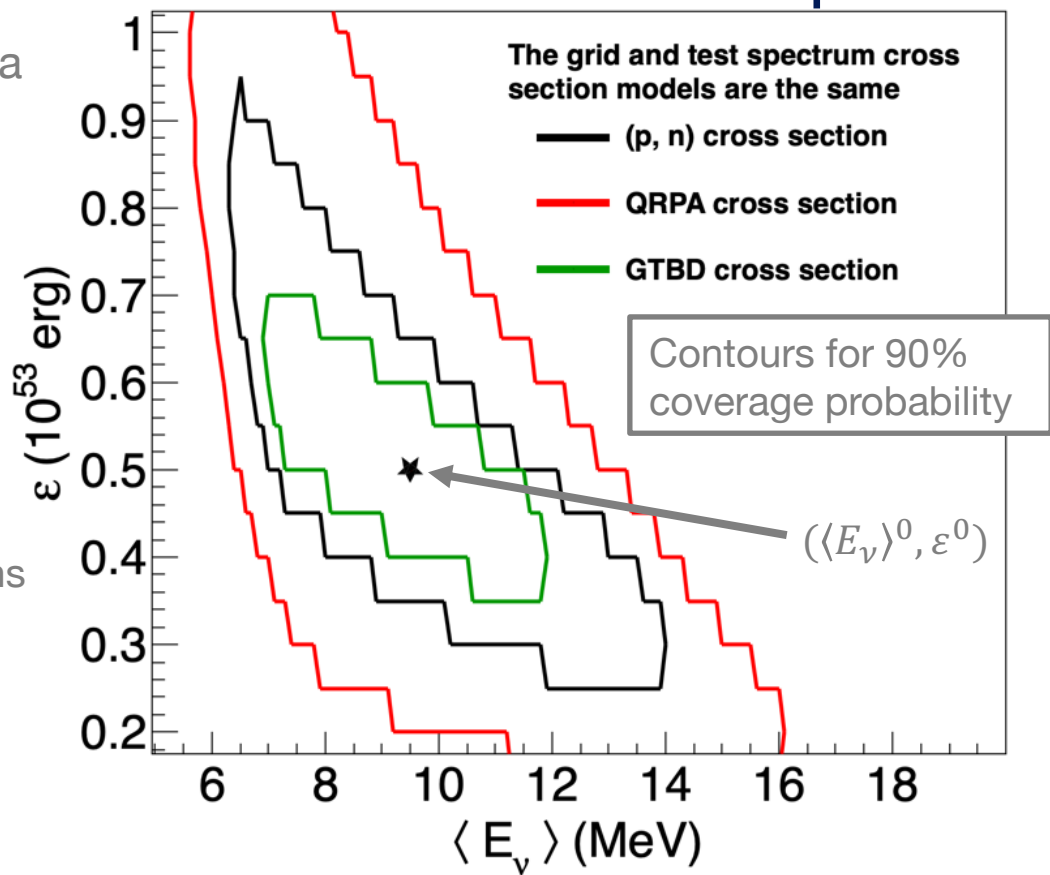
1. Blue curves: MARLEY partially data-driven filled in with QRPA, probably most reliable at low energies
2. Red curve: SM+RPA (hybrid approach with RPA) is considered most theoretically motivated
  1. Pink curves: RPA is preferred for the high energies (not explicitly defined) of SN  $\nu_e$  according to paper from [Capozzi et al.](#)

See [backup](#) for references.

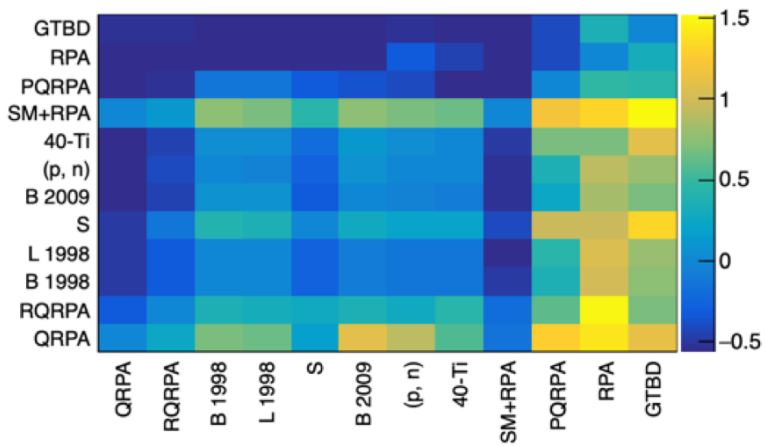
# Studying Biases due to Incorrect Detector Assumptions

- Test spectrum: data from supernova as observed by DUNE
- Grids: different DUNE detector performance assumptions
- Change assumptions for test spectrum, and for grids, to study effect of mismatched assumptions about  $\nu_e$ - $^{40}\text{Ar}$  cross section
  - Study amount of parameter phase space enclosed in sensitivity regions
  - Study parameter biases introduced by incorrect assumptions using fractional difference from truth:

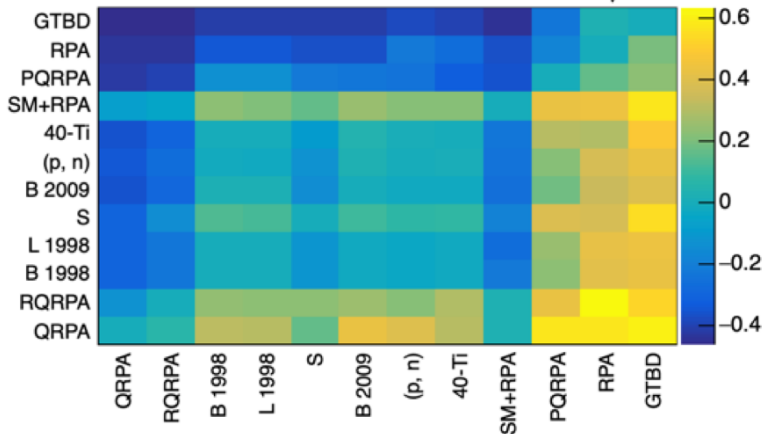
$$\text{Frac. Diff.} = \frac{x - x^0}{x^0}$$



Fractional difference from truth for  $\alpha$



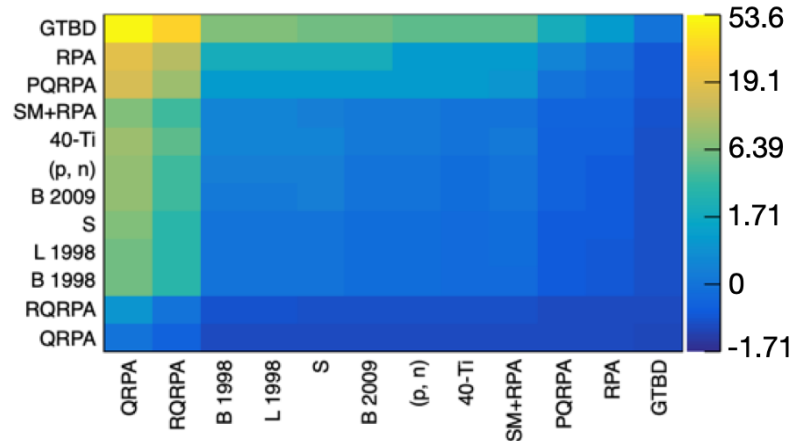
Fractional difference from truth for  $\langle E_\nu \rangle$



## Studying fractional difference for incorrect assumptions about cross section models:

- Included  $\nu_e$ CC interactions only
- Color scale for  $\alpha$  and  $\langle E_\nu \rangle$  are fractional difference from true parameter value
- $\varepsilon$  range from  $\mathcal{O}(10^{51}$  ergs) to  $\mathcal{O}(10^{54}$  ergs); biases extend from  $-94\%$  to  $+5000\%$ 
  - Unrealistic range for  $\varepsilon$  chosen to account for wide range in cross section models

Fractional difference from truth for  $\varepsilon$



Color scale:  
 $\pm \log(1 + |\text{fracDiff}|)$ ,  
 see [backup](#)  
 for more info.  
 While the color scale is log, the values listed here are not!

Assumed Cross Section Model

# Takeaways

- $\nu_e$  CC cross section model greatly influences DUNE measurements of supernova flux
  - We need better information about the cross section! A measurement would be very useful!
- Study biases introduced by diverse set of cross section models; most extreme cross section models yield most extreme biases:
  - $\alpha$ :  $-52\%$  to  $+112\%$
  - $\langle E_\nu \rangle$ :  $-46.3\%$  to  $+60\%$
  - $\varepsilon$ :  $-94\%$  to  $+5000\%$
- DUNE technical note for parameter fitting: [14068-v2](#); paper for publication currently in progress

# Backup Slides



# RPA References

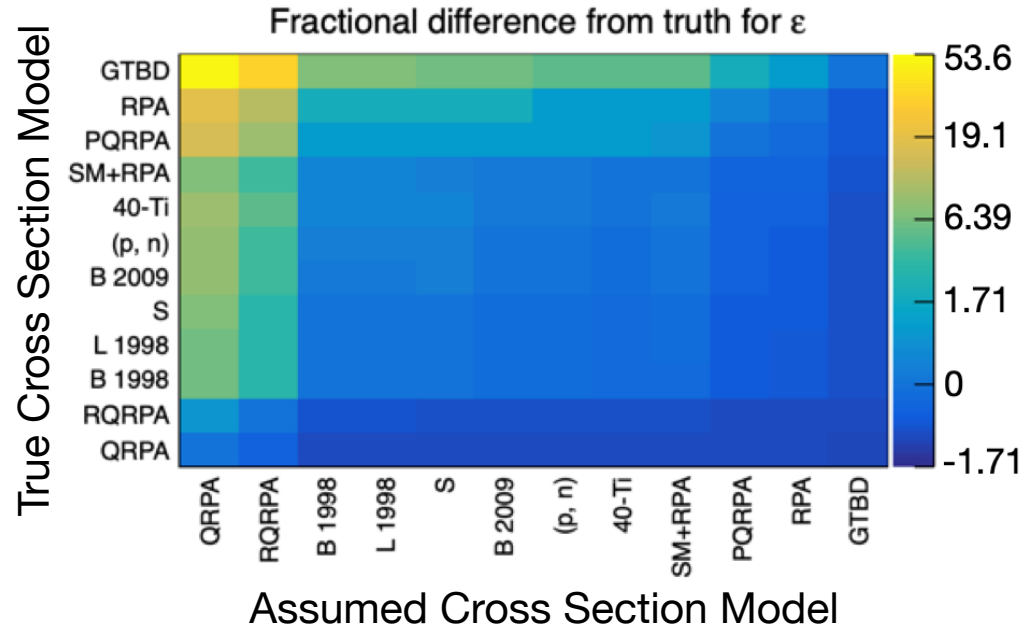
- [RPA \(SNOwGLoBES\)](#): random phase approximation
  - Note that RPA and SNOwGLoBES are different papers by the same authors
  - [QRPA](#): quasiparticle RPA
    - [RQRPA](#): relativistic QRPA
    - [PQRPA](#): projected QRPA (the xscn is unpublished; the paper outlines the computer code)
- [SM+RPA](#): shell model + RPA
  - Capozzi et al. cites a [different paper](#) by the same authors

# Other cross section models

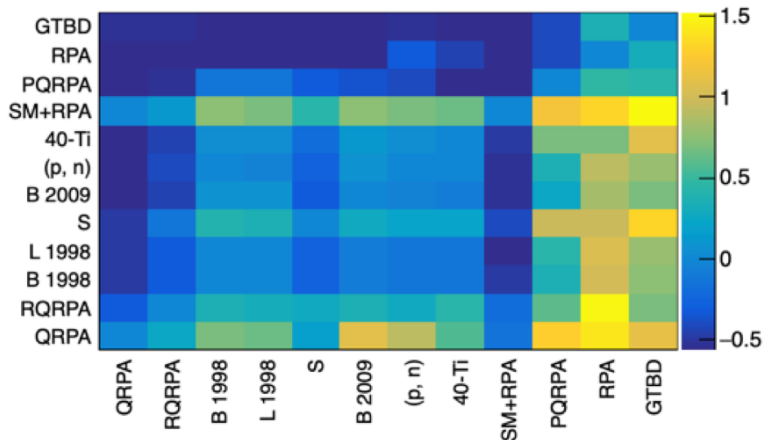
- From [S Gardiner's thesis](#) and [MARLEY](#):
  - Bhattacharya 1998
  - Liu 1998
  - Bhattacharya 2009
  - (p, n) and  $^{40}\text{Ti}$
- [GTBD](#): gross theory of beta decay

# “Log scale” for $\epsilon$

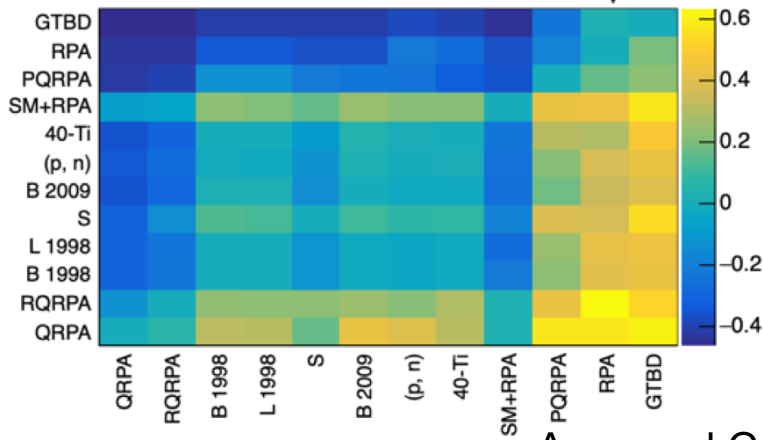
- Color scale:
  - $\pm \log(1 + |\text{fracDiff}|)$
  - Accounts for the wide range of biases
  - Includes scenarios where there is no bias introduced into measurement
- The z-axis numerical values shown in right-hand plot are NOT log! The corresponding log scale here is  $[-1.0, 4.0]$



Fractional difference from truth for  $\alpha$



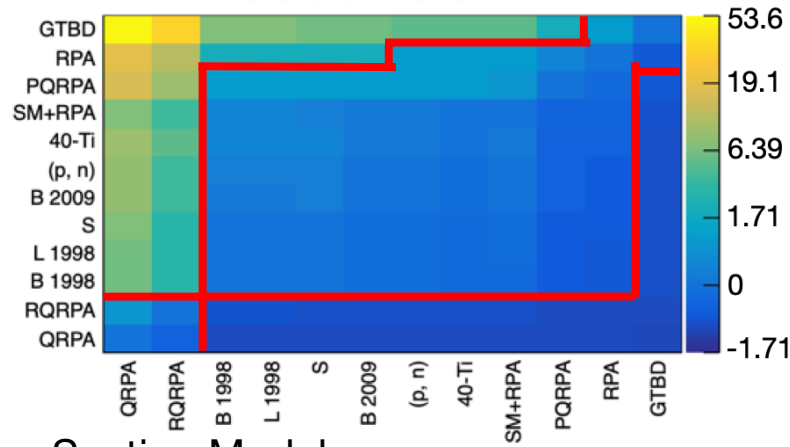
Fractional difference from truth for  $\langle E_\nu \rangle$



## Studying cross section models:

- Inside red lines: original grid (selected because the  $\varepsilon$  values were reasonable according to Rosso et al.)
- Outside red lines: extended  $\varepsilon$  values that might not be physical
  - So this is our first pass of where reasonable  $\varepsilon$  values might lie

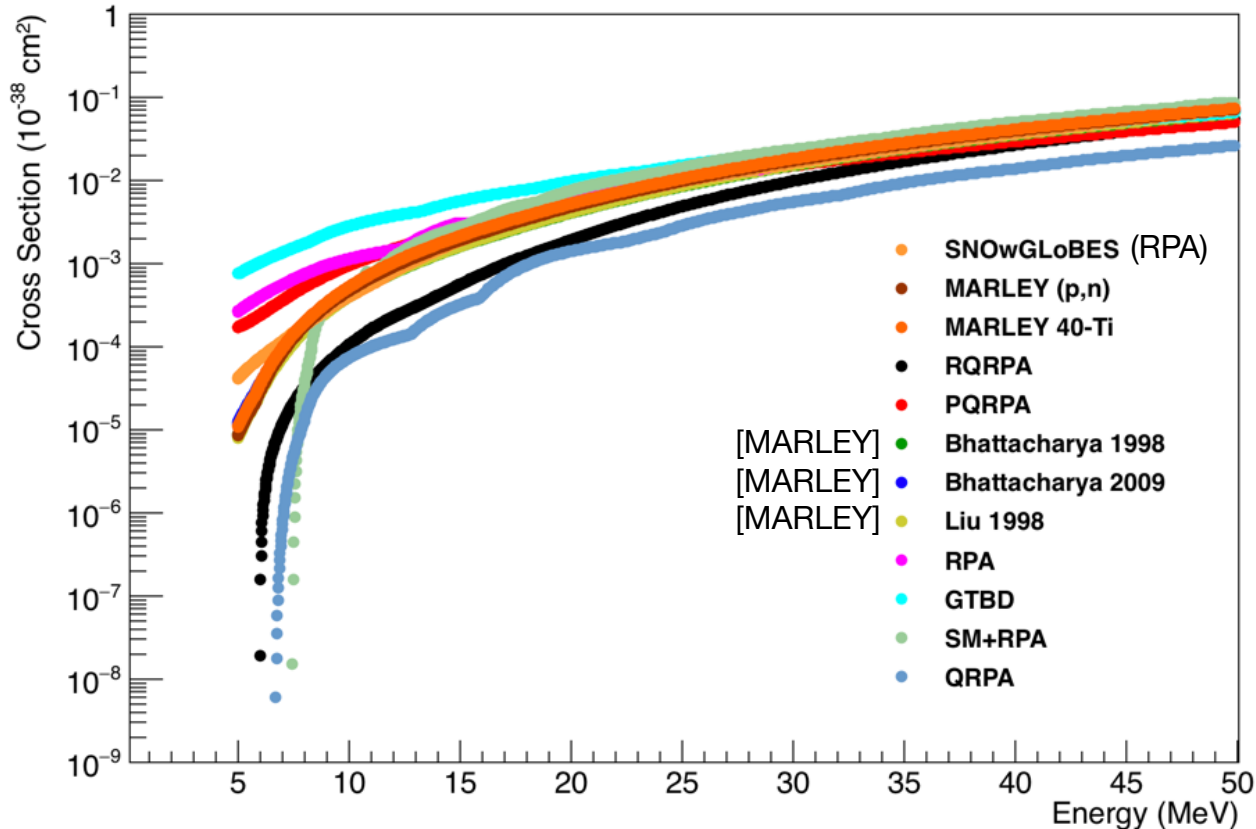
Fractional difference from truth for  $\varepsilon$



These values are NOT log!

Assumed Cross Section Model

# SNOwGLoBES-Formatted Cross Sections



Reliability of these models?

1. MARLEY partially data-driven filled in with QRPA, probably most reliable at low energies
2. SM+RPA (hybrid approach with RPA) is considered most theoretically motivated
  1. RPA is preferred for the high energies (not explicitly defined) of SN  $\nu_e$  according to paper from [Capozzi et al.](#)

See [backup](#) for references.

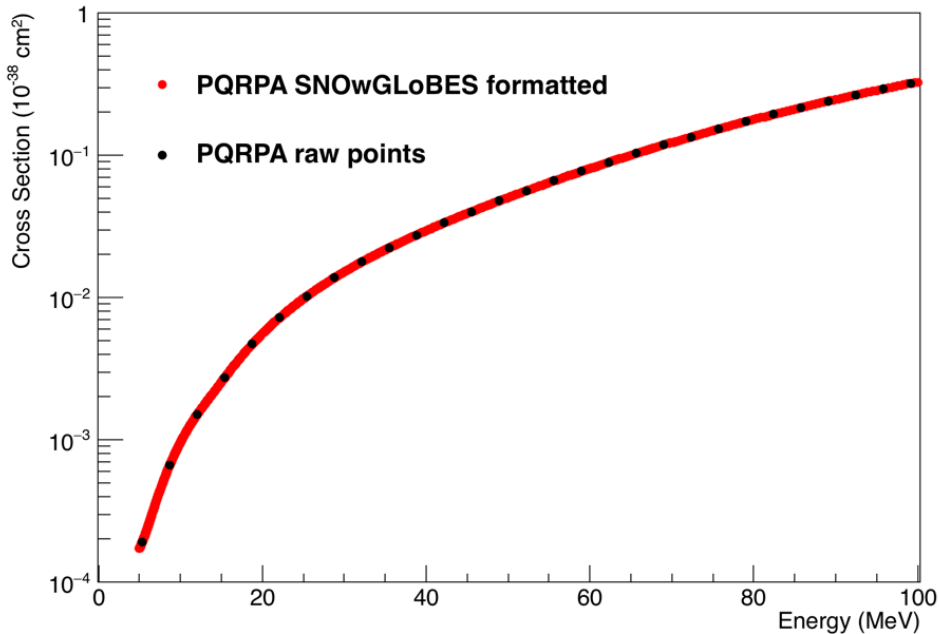
# Formatting for SNOwGLoBES

- Used interpolation, extrapolation to format cross section models for usage in SNOwGLoBES
  - Interpolation using ROOT Eval function (uses TSpline)
  - Quadratic fit for extrapolation:  $\sigma = p_0(E - p_1)^2$ 
    - Remove discontinuities by forcing fit through first data point
- See [backup](#) for more information

# Examples of Extrapolation/Interpolation

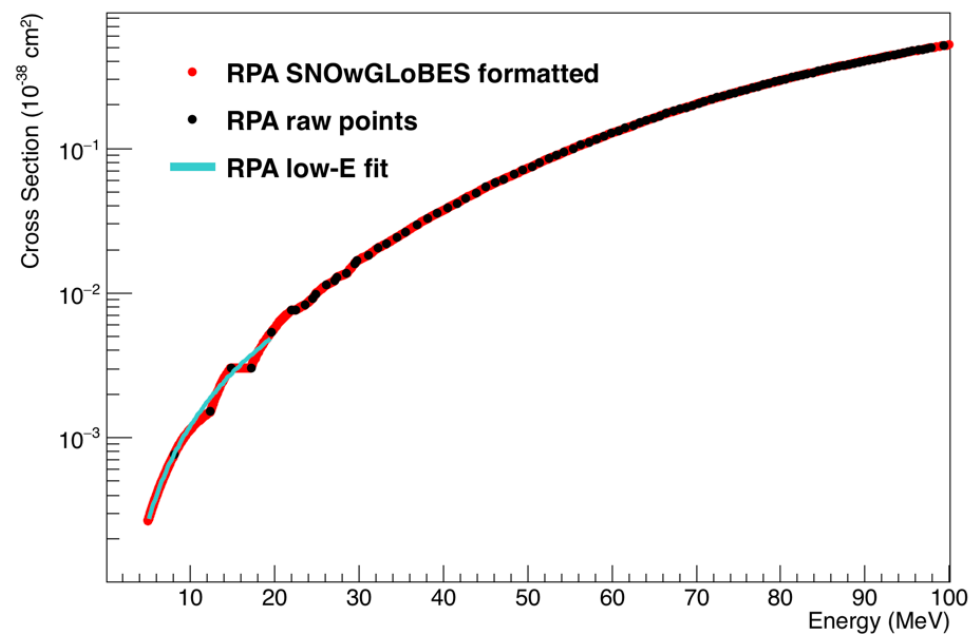
## PQRPA Model (Interpolation Only)

Cross Section Model: PQRPA



## RPA Model (Extrapolation Required)

Cross Section Model: RPA

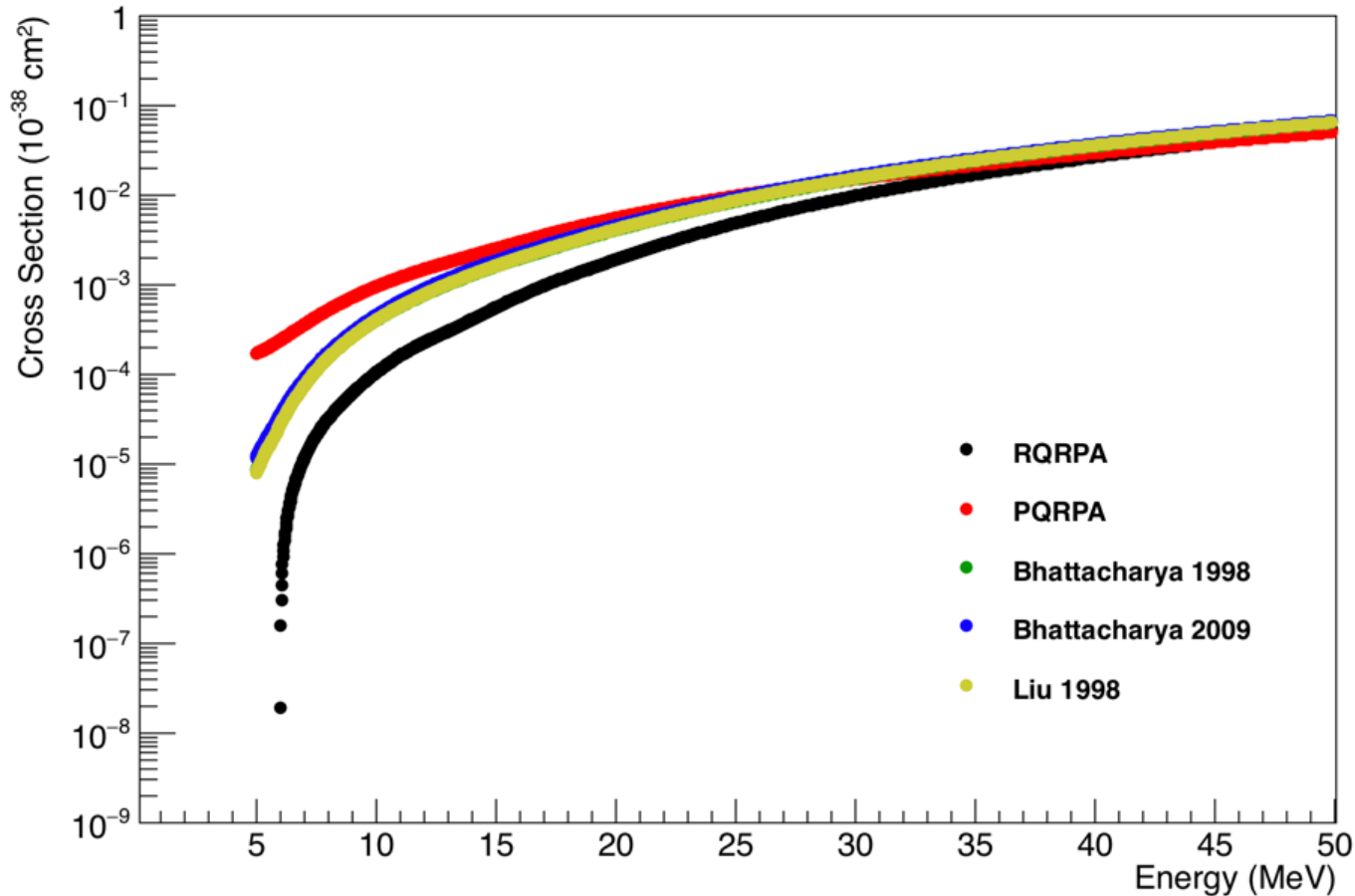


# Cross section models: no extrapolation

- Models:
  - RQRPA (Paar 2013)
  - PQRPA (Samana, unpublished)
  - Bhattacharya 1998
  - Bhattacharya 2009
  - Liu 1998
- Used cubic spline (TSpline3) to perform interpolation
  - Smoother interpolation compared to linear spline; see page 6



# Cross Section Models



## Notes:

- Models extend to 100 MeV; only showing up to 50 MeV here
- RQRPA goes to zero at 6 MeV in the original text file and the interpolation reflects that
- The two Bhattacharya models are very similar and nearly overlap

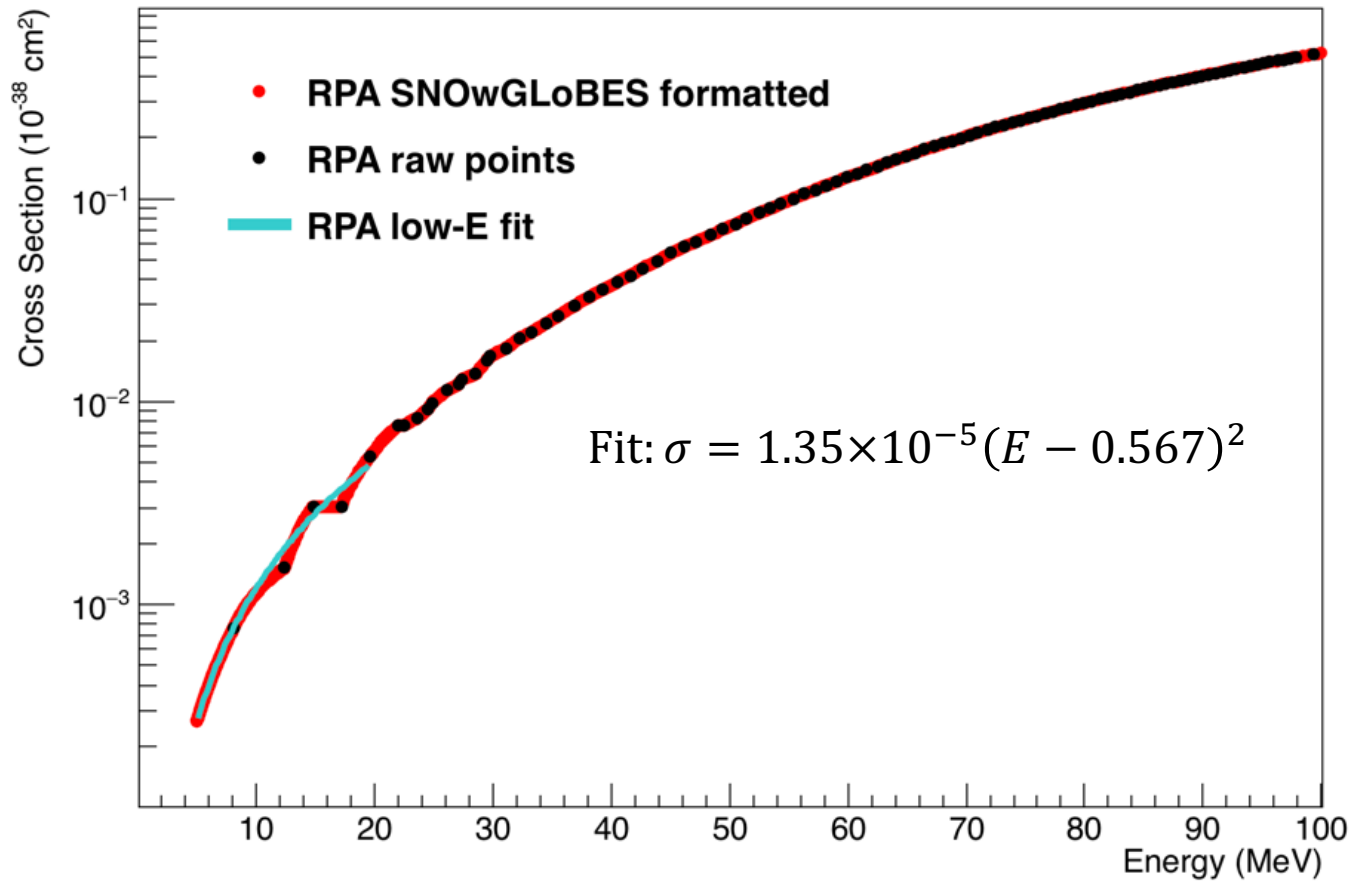
# Cross section models: extrapolation required

- Models:
  - RPA (Botella 2004)
    - Modified original text file by removing duplicate points (to test higher-degree TSpline that requires completely unique points)
  - QRPA (Cheoun 2011)
  - GTBD (Samana 2008)
    - Modified original text file by removing “duplicate” points above 1000 MeV (most likely a result of truncation; should not impact interpolation)
  - SM+RPA (Suzuki 2014)

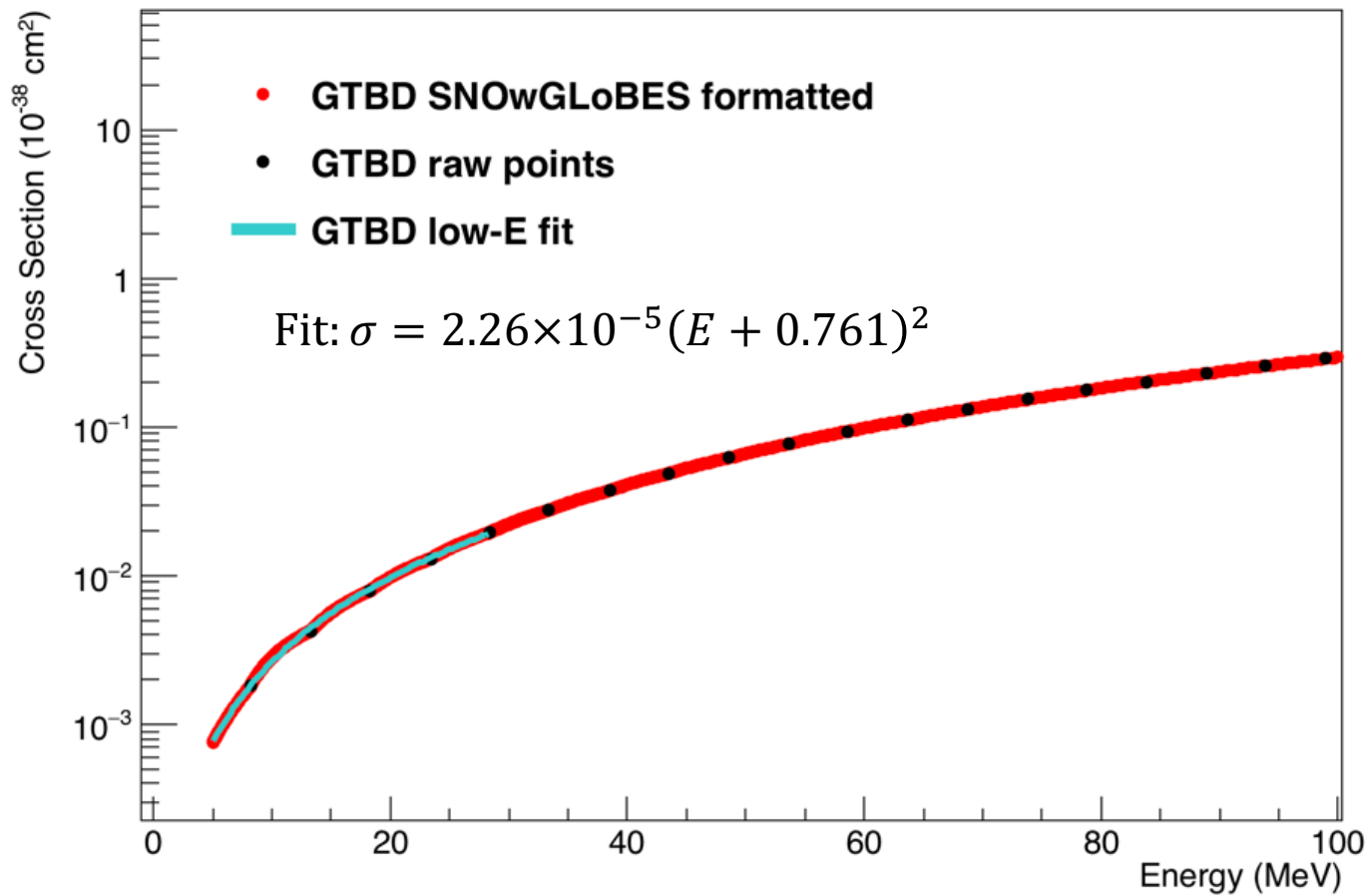
# Cross section models: extrapolation required

- Use quadratic fit  $\sigma = p_0(E - p_1)^2$  for extrapolation
  - Fit a quadratic to 5 points
  - Fixed function to go through first point using weights (weighted the first point heavily compared to all other points, which got smaller but equal weights)
  - Used function to extrapolate beyond model range (e.g., to 5 MeV)
  - QRPA model has points up to 80 MeV; repeat this process (fixing function to go through last point) to extrapolate to 100 MeV
  - $p_1$  is not constrained and can be any value; if  $p_1 > 5$  MeV then I set  $\sigma = 0$  for energies lower than  $p_1$ ; this happens for [SMRPA](#) and [QRPA](#)
- Used linear spline (TSpline) to perform interpolation
  - [Smoother compared to cubic spline](#)

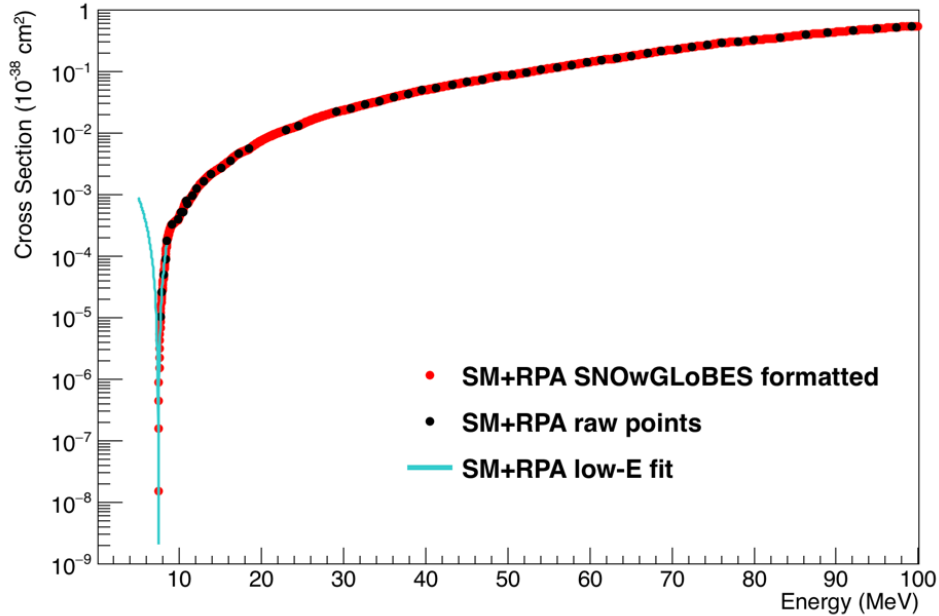
# Cross Section Model: RPA



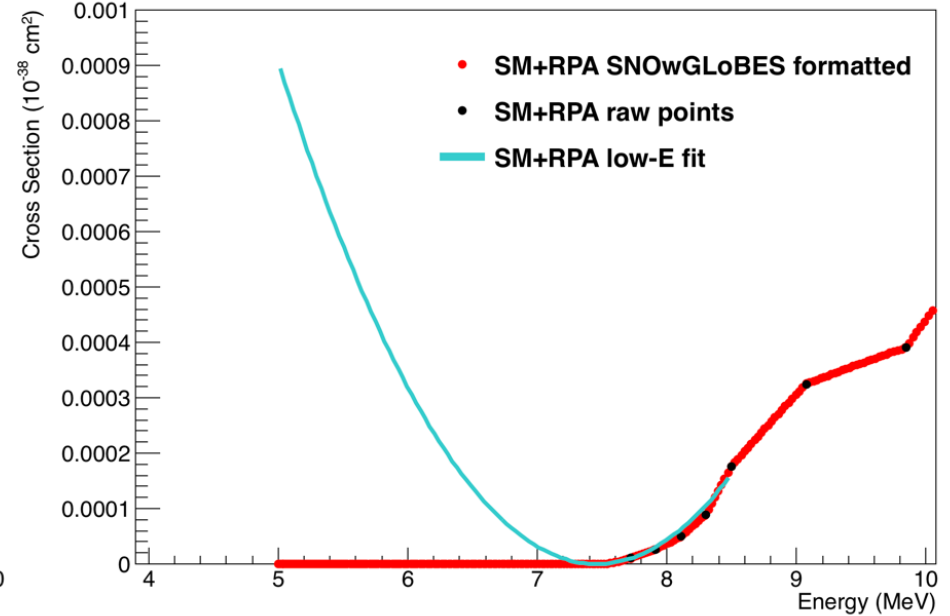
# Cross Section Model: GTBD



Cross Section Model: SM+RPA

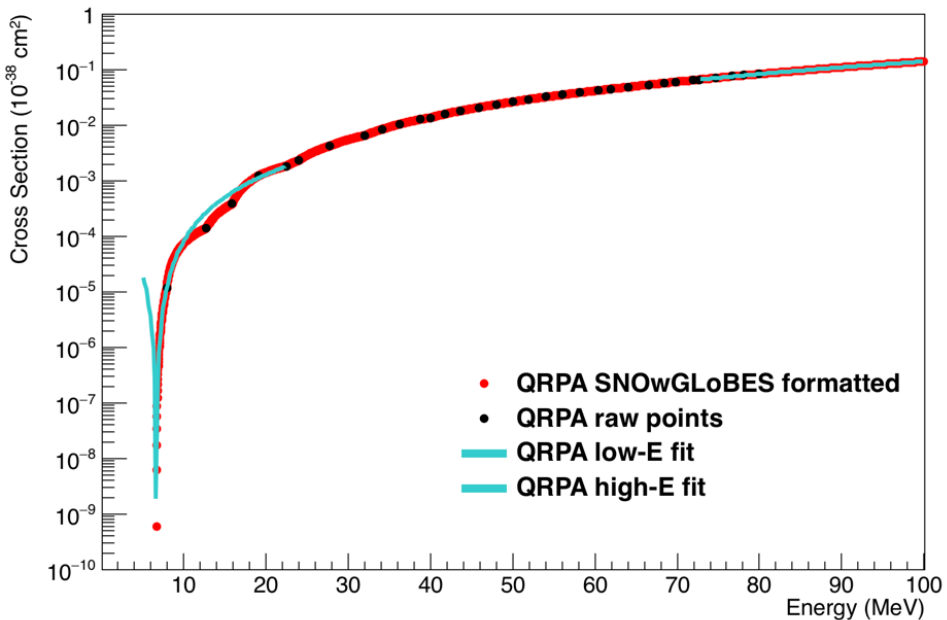


Cross Section Model: SM+RPA

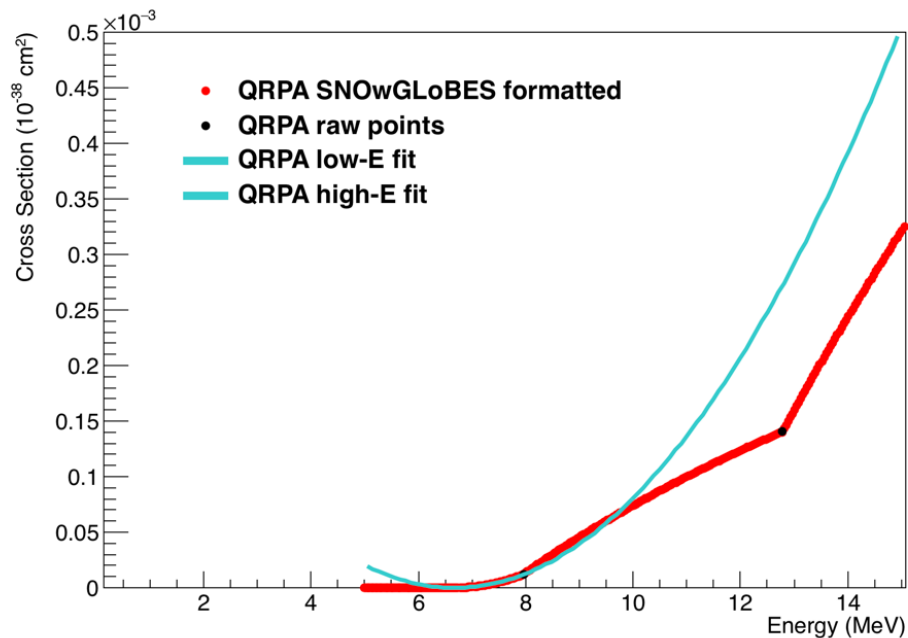


- SM+RPA quadratic fit matches points well:  $\sigma = 1.50 \times 10^{-4} (E - 7.46)^2$ 
  - Since  $p_1 = 7.46$  MeV, I set all values below  $p_1$  to be zero (so the cross section is more realistic)

Cross Section Model: QRPA



Cross Section Model: QRPA



- QRPA quadratic fit matches points well:
  - Low energy:  $\sigma = 7.30 \times 10^{-6} (E - 6.68)^2$ ; since  $p_1 = 6.68 \text{ MeV}$ , I set all values below  $p_1$  to be zero
  - High energy:  $\sigma = 1.83 \times 10^{-5} (E - 12.4)^2$